# Jumps of the Milnor numbers in linear deformations of plane curve singularities

Tadeusz Krasiński
(joint results with A. Zakrzewska)
University of Łódź

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Deformation of  $f_0$ 

$$f_{S}(x,y)$$

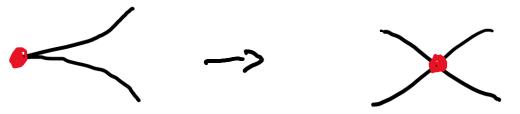
G.M. Greuel, C.Lossen, E.Shustin "Introduction to Singularities and Deformations" 2007.

**Adjacency problem:** when a singularity (or a class of singularities) can be deformed to another one (class of singularities)?

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### Example.

$$A_2 \to A_1$$
,  $x^3 + y^2 \to x^3 + y^2 + sx^2$ 



 $A_2$ -singularity  $A_1$ -singularity

### Example.

$$X_9 \rightarrow E_7,$$

$$x^4 + y^4 + ax^2y^2 \rightarrow y(x^3 + y^2)$$

### **Deformation:**

$$x^4 + y^4 + ax^2y^2 + s(x + \alpha y)^3$$

**Easier problem:** how do some numerical invariants change in deformations of singularities?

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**Example.** The Milnor number  $\mu(f_0)$ . Many definitions.

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Consider a specific element of the class  $X_9$ 

$$f_0 = x^4 + y^4, \qquad \mu(f_0) = 9$$

Fact: It is impossible to deform  $f_0$  in such a way that

$$\mu(f_s) = 8 \text{ for } s \neq 0.$$

There exist deformations for which  $\mu(f_s) = 7$  for  $s \neq 0$ :

$$f_S = x^4 + (y^2 + sx)^2$$

In general for similar singularities of the type

$$f_0 = x^n + y^n$$
,  $\mu(f_0) = (n-1)^2$ 

we can only get deformations  $(f_s)$  for which maximally

$$\mu(f_s) = (n-1)^2 - \left[\frac{n}{2}\right] \text{ for } s \neq 0$$

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**Definition.** The jump (of the Milnor numbers) of  $f_0$ 

$$\lambda(f_0) := \min(\mu(f_0) - \mu(f_s)) \in \mathbb{N}$$

over all deformations  $(f_s)$  of  $f_0$  for which  $\mu(f_0) - \mu(f_s) \neq 0$ .

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**Example.** 
$$\lambda(x^n + y^n) = \left[\frac{n}{2}\right]$$
.

**Problem.** Give a formula for  $\lambda(f_0)$ .

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More general problem.

**Problem (A'Campo).** Compute the sequence of all possible Milnor numbers in deformations of  $f_0$ .

$$\mathcal{M}(f_0) = (\mu_0(f_0), \mu_1(f_0), \dots, \mu_k(f_0))$$

$$\mu(f_0) = \mu_0(f_0) > \mu_1(f_0) > \dots > \mu_k(f_0) = 0$$

**Fact.**  $\lambda(f_0)$  is not a topological invariant.

**Example.** The class  $W_{1,0}$ :  $x^4 + y^6 + (a + by)x^2y^3$ .

All singularities  $f^{a,b}$  are topologically equivalent.

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$$\mu(f^{a,b})=15.$$
 
$$\lambda(f^{0,b})=\lambda(x^4+y^6+bx^2y^4)=1.$$
 
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$$\mu(f^{a,b}) = 15.$$
 
$$\lambda(f^{0,b}) = \lambda(x^4 + y^6 + bx^2y^4) = 1.$$
 
$$\lambda(f^{a,b}) = \lambda(x^4 + y^6 + ax^2y^3 + bx^2y^4) > 1 \text{ for generic } a, b$$

Problems have been considered by putting restrictions on:

- 1. singularities (homog., quasihomog., irreducible,...),
- 2. class of deformations (non-degenerate, linear,...).

The results concern the jump for the class of linear deformations

$$f_{S} = f_{0} + sg,$$
  $g(0,0) = 0.$ 

In this case we have a particular jump

$$\lambda^{lin}(f_0) := \min(\mu(f_0) - \mu(f_S)) \in \mathbb{N}$$

over all **linear** deformations  $(f_s)$  of  $f_0$  for which  $\mu(f_0) - \mu(f_s) \neq 0$ .

Two powerful tools:

**1. M. Caramiñana, J. Roe** (2007). Necessary and sufficient numerical condition on the Enriques diagrams  $E(f_s)$  and  $E(f_0)$  so that  $f_s$  is a linear deformation of  $f_0$  (recall Enriques diagrams represent topological types of singularities).

**2. Enriques, Plücker** (very long time ago). Formula for the Milnor numer (as intersection multiplicity  $i(\frac{\partial f_0}{\partial x}, \frac{\partial f_0}{\partial y})$ ) in terms of Enriques diagrams.

$$\mu(f_0) = \sum_{P \in V^{\infty}(f_0)} e_P(f_0)(e_P(f_0) - 1) + 1 - r$$

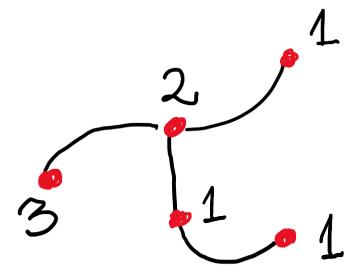
Examples.  $X_9$ 

$$f_0 = x^4 + y^4$$

$$\mu(f_0) = 4 \cdot 3 + 1 - 4 = 9$$

Examples.  $E_7$ 

$$f_0 = x(x^2 + y^3)$$
  $\mu(f_0) = 3 \cdot 2 + 2 \cdot 1 + 1 - 2 = 7$ 



Jumps (for linear deformations) of quasihomogeneous singularities.

**A.Zakrzewska** (2025). If  $f_0=a_{p,0}x^p+\cdots+a_{0,q}y^q$  is a generic quasihomogeneous singularity and  $3\leq p\leq q$ 

$$\lambda^{lin}(f_0) = \begin{cases} p - 2 & \text{if } p = q \\ p - 1 & \text{if } p \neq q \text{ and } p | q \\ \text{GCD}(p, q) & \text{if } p \neq q \text{ and } p \nmid q \end{cases} \qquad x^4 + y^4$$

More precise results in the paper (for any coefficients).

**Example.** For 
$$f_0 = x^5 + y^5$$
,  $\mu(f_0) = 16$ , we get

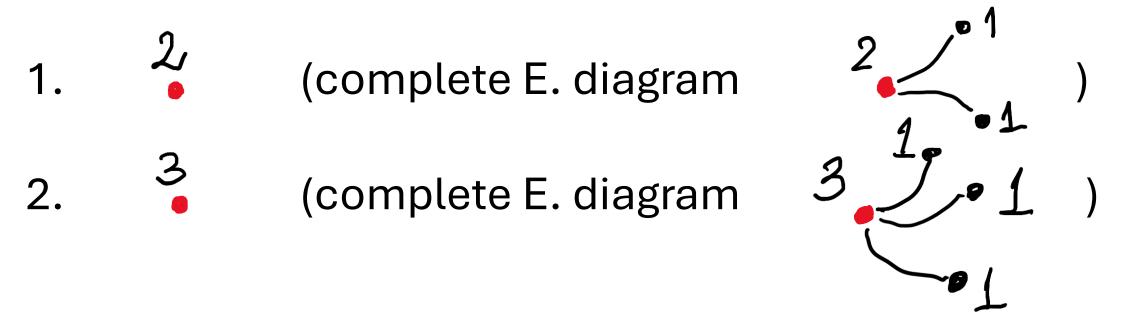
$$\lambda(f_0)=2,$$

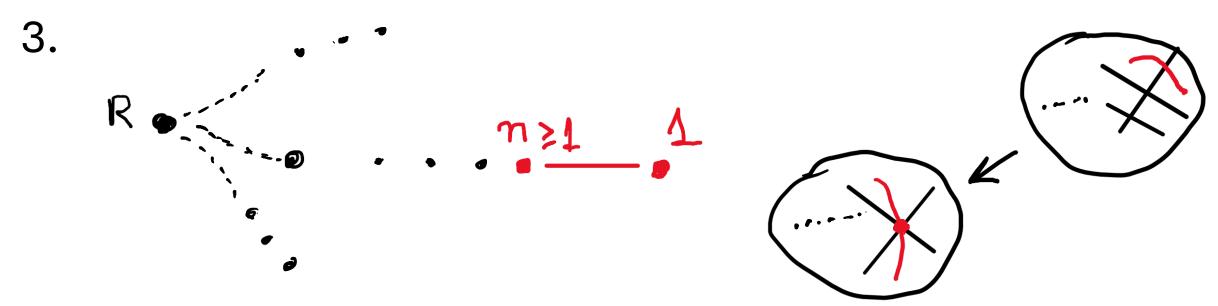
$$\lambda^{lin}(f_0)=3,$$

$$\lambda^{nd}(f_0)=4.$$

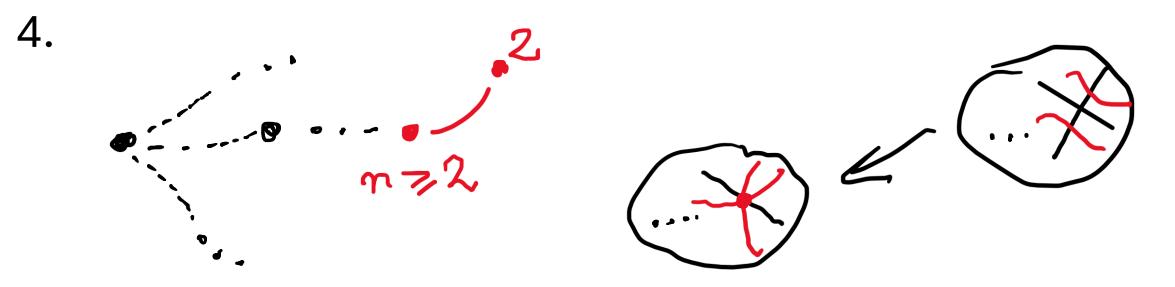
Characterization of singularities for which  $\lambda^{lin}(f_0) = 1$ **T.K., A.Zakrzewska** (not yet published).

**Theorem.**  $\lambda^{lin}(f_0) = 1$  if and only if the Enriques diagram  $E(f_0)$  of  $f_0$  is (we draw Enriques diagrams without leaves):

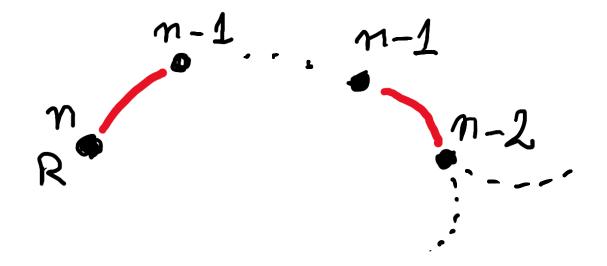


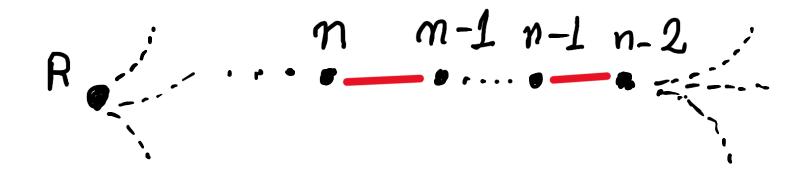


(all irreducible singularities satisfy this condition).



5.





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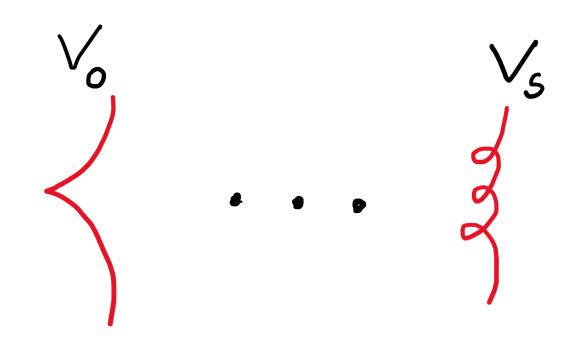
- **Remarks.** 1. For any of this cases we give required linear deformation also in the form of the Enriques diagrams.
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- 3. The first four conditions were discovered by S. Gusein-Zade (1993). We have found only the last two with very specific configuration of orders and branches.
- 4. The method of proof is elementary and is based on the Caramiñana and Roe result.

Another discrete invariant of a singularity  $f_0$  is  $\delta$ -invariant (called also Hironaka number). It is a topological invariant given by the Milnor Formula

$$2\delta = \mu + r - 1,$$

where  $\mu$  is the Milnor number of  $f_0$  and r the number of branches of  $V(f_0)$ , or geometrically as the maximal number of singularities in deformations of  $V(f_0)$ . Precisely

Take a generic deformation  $(V_s)$  of the zero set  $V_0 = V(f_0)$  of  $f_0$  which has only  $A_1$ -singularities. Their number is  $\delta(f_0)$ .



Since  $A_1$ -singularities are double ordinary singular points,  $\delta(f_0)$  is also called the **number of double points** of  $V(f_0)$ .

**Problem.** Describe singularities for which there exist  $\delta$ -constant linear deformations and if yes give topological types in such deformations.

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**Remark.** In any  $\mu$ -constant deformations elements have the same topological type.

**Example.** 
$$f_s(x, y) = x^2 + y^3 + sy^2$$

$$s = 0$$

$$s \neq 0$$

$$\mu = 2$$

$$\delta = 1$$

$$\delta = 1$$

$$s \neq 0$$

$$\mu = 1$$

$$\delta = 1$$

In this  $\delta$ -constant linear deformation the topological type changes.

Using the Milnor formula in any deformation  $(f_s)$ 

$$2\delta_s = \mu_s + r_s - 1$$

we see the deformation  $(f_s)$  is  $\delta$ -constant if and only if the jump of the Milnor number is equal to the jump up (increase) of the number of branches.

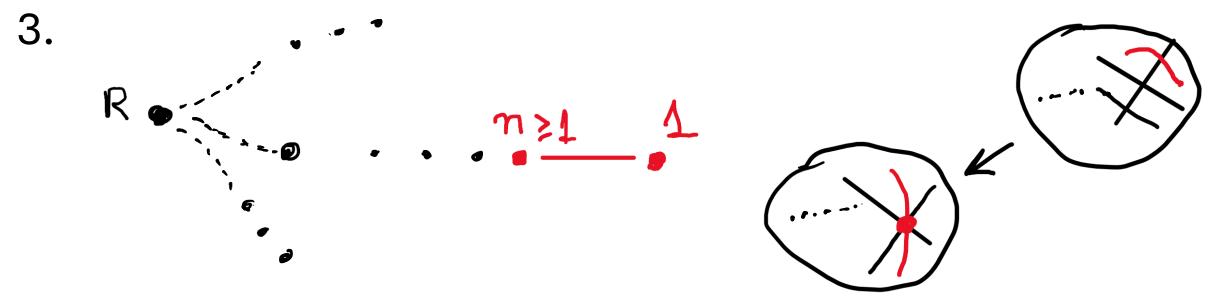
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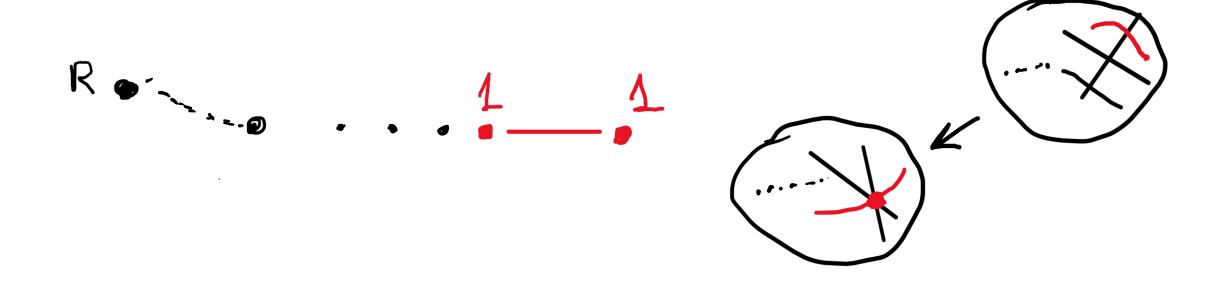
By the theorem we know topological types of singularities and its linear deformations for which the jump of the Milnor numbers is equal to 1. So, it is easy to check in which of these cases the jump of number of branches is also equal to 1. Hence we get

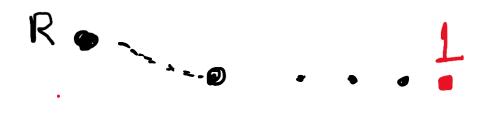
**Theorem.** A singularity  $f_0$  has a  $\delta$ -constant linear deformation  $(f_s)$  with the jump of the Milnor numbers equal to 1 if and only if it has type 3 in the Theorem.



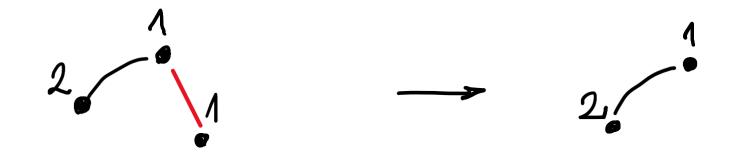
(all irreducible singularities satisfy this condition).

Corollary. If  $f_0$  is an ireducible singularity the there exists a  $\delta$ -constant linear deformation of  $f_0$  and its toplogical type is given by the below Enriques diagram. If the jump of this deformation is 1 then this topological type is unique.

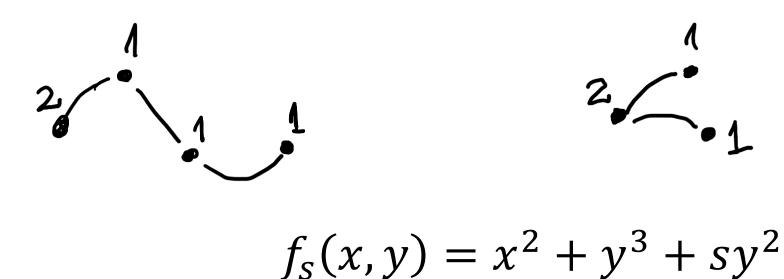




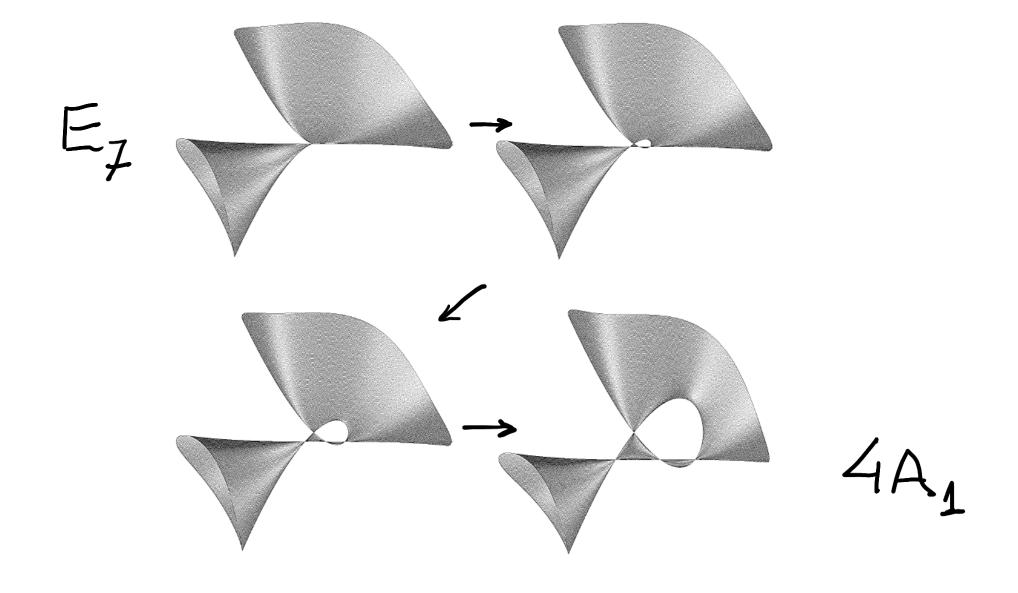
**Example.** Take the cusp  $f_0(x, y) = x^2 + y^3$ 



For complete Enriques diagrams



# Thank you.



Picture of a deformation from the monograph "Introduction to Singularities and deformations" by Greuel, Lossen and Shustin.